Homological blocks and R-matrices

Sunghyuk Park

Jul 15, 2022 @ String Math 2022

▲□▶ ▲□▶ ▲ 三▶ ▲ 三▶ - 三 - のへぐ

Homological block \hat{Z} , is a machinery that produces *q*-series out of 3-manifolds.

$$egin{array}{ccc} & \leadsto & \hat{Z}_Y(q) = q^\Delta \sum_{n\geq 0} a_n q^n \end{array}$$

I will discuss some recent progress on its mathematical construction.

This talk is based on

- "Large color R-matrix for knot complements and strange identities". J. Knot Theory Ramifications 29 (2020), no. 14, 2050097. [arXiv:2004.02087]
- "Inverted state sums, inverted Habiro series, and indefinite theta functions". [arXiv:2106.03942]

- $1. \ \, {\rm Introduction} \ \, {\rm and} \ \, {\rm motivation} \\$
 - Integrality in Chern-Simons theory
- 2. Homological blocks
 - Physical "definition"
 - Mathematical definition for negative-definite plumbed 3-manifolds

*ロ * * @ * * ミ * ミ * ・ ミ * の < ?

- Knot complements and Gukov-Manolescu conjecture
- 3. Verma modules and R-matrices

Integrality in Chern-Simons theory

The Chern-Simons partition function

$$WRT(Y;k) = \int_{\mathcal{A}/\mathcal{G}} \mathcal{D}A \ e^{\frac{k-2}{4\pi}\int_{Y} \operatorname{Tr}\left(A \ dA + \frac{2}{3}A \wedge A \wedge A\right)}$$

produces invariants of 3-manifolds known as Witten-Reshetikhin-Turaev (WRT) invariants [Witten '89], [Reshetikhin, Turaev '91].



When the 3-manifold is S^3 with a Wilson line defect inserted along a knot K, the corresponding invariant is the colored Jones polynomial of K.

Colored Jones polynomials are polynomials in $q = e^{\frac{2\pi i}{k}}$ with integer coefficients.

Integrality of colored Jones polynomials was explained by Khovanov [Khovanov '99]: they are graded Euler characteristics of a homological knot invariant, known as Khovanov homology.

Khovanov homology can be understood physically, in terms of BPS spectra of open topological strings [Gukov, Schwarz, Vafa '04] or via gauge theory [Witten '11].

What about 3-manifolds? Integrality of WRT invariants?

Integrality in Chern-Simons theory

Lawrence and Zagier [Lawrence, Zagier '99] showed that, for the Poincare homology sphere $P = \Sigma(2, 3, 5)$,

$$\mathit{WRT}_P(\zeta_k) = \lim_{q o \zeta_k} rac{\hat{Z}_P(q)}{2(q^{rac{1}{2}}-q^{-rac{1}{2}})},$$

where

$$egin{aligned} \hat{Z}_{\mathcal{P}}(q) &= q^{-rac{3}{2}} \sum_{n \geq 0} rac{(-1)^n q^{rac{n(3n-1)}{2}}}{\prod_{1 \leq j \leq n} (1-q^{n+j})} \ &= q^{-rac{3}{2}} (1-q-q^3-q^7+q^8+q^{14}+\cdots). \end{aligned}$$

Hikami [Hikami '05, '06] generalized their result for more general Seifert manifolds.

・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・

Integrality in Chern-Simons theory

In these examples, integrality of WRT invariants can be seen by "analytically continuing" them inside the unit disk.



Homological blocks, whose physical "definition" was given in [Gukov, Pei, Putrov, Vafa '17], can be thought of as a vast generalization of these results.

▲ロ ▶ ▲周 ▶ ▲ 国 ▶ ▲ 国 ▶ ● の Q @

Let Y be a 3-manifold. Consider M-theory in the following setup:

$$\begin{array}{rcl} \text{M-theory spacetime:} & T^*Y & \times & \mathbb{C} \times \mathbb{C} & \times & S^1_{\text{time}} \\ & N \text{ M5-branes:} & Y & \times & \{0\} \times \mathbb{C} & \times & S^1_{\text{time}} \end{array}$$

Here, \mathbb{C}^2 is, as a Riemannian manifold, the Taub-NUT space, carrying the $U(1)_q$ symmetry $(z_1, z_2) \mapsto (e^{i\phi}z_1, e^{-i\phi}z_2)$.



Such a configuration preserves 2 supercharges for a general 3-manifold Y.

▲ロ ▶ ▲ □ ▶ ▲ □ ▶ ▲ □ ▶ ▲ □ ▶ ● ○ ○ ○

The homological block \hat{Z} is the flavored Witten index

$$\hat{Z}_{Y,b}^{\mathfrak{gl}_N}(q) = \operatorname{Tr}_{\mathcal{H}_b}(-1)^F q^{L_0},$$

where \mathcal{H}_b denotes the BPS subspace of the Hilbert space of the fivebrane system, and *b* is a choice of boundary condition at the end of the cigar [Gukov, Pei, Putrov, Vafa '17].

◆□ ▶ ◆□ ▶ ◆三 ▶ ◆□ ▶ ◆□ ◆ ● ◆ ●

The boundary condition b is known to be related to a choice of spin^c structure [Gukov, Manolescu '19].

Let Y be a 3-manifold with $b_1(Y) = 0$, and set $\mathfrak{g} = \mathfrak{sl}_2$ for simplicity.

It was conjectured in [Gukov, Pei, Putrov, Vafa '17] that the WRT invariant of Y can be decomposed into a certain linear combination of $q \to e^{\frac{2\pi i}{k}}$ limit of $\hat{Z}_{Y,b}(q)$:

$$WRT_{Y}(e^{\frac{2\pi i}{k}}) = \sum_{a \in H_{1}(Y;\mathbb{Z})/\mathbb{Z}_{2}} e^{2\pi i k CS(a)} \sum_{b \in \text{Spin}^{c}(Y)/\mathbb{Z}_{2}} S_{ab} \lim_{q \to e^{\frac{2\pi i}{k}}} \frac{Z_{Y,b}(q)}{2(q^{\frac{1}{2}} - q^{-\frac{1}{2}})},$$

*ロ * * @ * * ミ * ミ * ・ ミ * の < ?

where S_{ab} is a matrix determined by the linking pairing of Y that does not depend on k.

For a class of 3-manifolds known as negative-definite plumbed 3-manifolds, a mathematical definition of \hat{Z} was given by [Gukov, Pei, Putrov, Vafa '17].

Plumbed 3-manifolds are 3-manifolds that can be naturally associated to trees whose vertices are labelled by integers.



Two plumbing graphs represent the same 3-manifold iff they are related via a sequence of Neumann moves.



When the linking matrix B of a plumbing graph Γ is negative-definite, the resulting plumbed 3-manifold Y_{Γ} is called negative-definite.

For a negative-definite plumbed 3-manifold Y_{Γ} , define

$$\hat{Z}_{Y_{\Gamma},b}(q) := \oint \prod_{v \in V} \frac{dx_v}{2\pi i x_v} \left(\prod_{v \in V} (x_v^{\frac{1}{2}} - x_v^{-\frac{1}{2}})^{2-\delta_v} \sum_{\ell \in 2B\mathbb{Z}^V + b} q^{-\frac{1}{4}(\ell, B^{-1}\ell)} x^{\frac{\ell}{2}} \right),$$

where δ_v is the degree of v, and

$$b \in (2\mathbb{Z}^V + \delta)/2B\mathbb{Z}^V \cong \operatorname{Spin}^c(Y_{\Gamma}).$$

This is invariant under Neumann moves (and hence well-defined) [Gukov, Manolescu '19].

- GPPV conjecture (relating Ẑ with WRT invariants) has been proven in many cases [Andersen, Mistegard '18], [Fuji, Iwaki, H. Murakami, Terashima '20], [Mori, Y. Murakami '21].
- They provide examples of quantum modular forms (of possibly higher depth) [Zagier '10], [Bringmann, Mahlburg, Milas '18, '19], [Bringmann, Kaszian, Milas, Nazaroglu '21]. See F. Ferrari's talk at String Math 2020.
- This definition has been generalized to more general gauge groups [S.P. '19], [Ferrari, Putrov '20]. See P. Putrov's talk at String Math 2020.
- Connection to Heegaard-Floer homology was found in [Akhmechet, Johnson, Krushkal '21].

・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・

Physics predicts \hat{Z} can be extended to an invariant defined for **all** 3-manifolds.

An approach toward \hat{Z} for general 3-manifolds:



- 1. study \hat{Z} for link complements, and then
- 2. study the Dehn surgery formula.

This is the approach initiated in [Gukov, Manolescu '19].

Melvin-Morton-Rozansky (MMR) expansion

The following asymptotic large-color behavior of colored Jones polynomials was conjectured by [Melvin, Morton '95], [Rozansky '96].

Theorem ([Bar-Natan, Garoufalidis '96], [Rozansky '96])

Set $q = e^{\hbar}$. Consider the limit where $\hbar \to 0$ and $n \to \infty$ while $n\hbar$ is fixed. In this large-color limit, the colored Jones polynomial has the following expansion:

$$J_{\mathcal{K},n}(q) = \frac{1}{\Delta_{\mathcal{K}}(x)} + \frac{P_1(x)}{\Delta_{\mathcal{K}}(x)^3}\hbar + \frac{P_2(x)}{\Delta_{\mathcal{K}}(x)^5}\frac{\hbar^2}{2!} + \cdots,$$

・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・

where $x = q^n = e^{n\hbar}$, $\Delta_{\mathcal{K}}(x)$ is the Alexander polynomial, and $P_j(x) \in \mathbb{Z}[x, x^{-1}]$.

Gukov-Manolescu conjecture

Conjecture ([Gukov, Manolescu '19])

The MMR expansion of the colored Jones polynomials can be resummed into a two-variable series $F_{\kappa}(x,q)$:

$$(x^{\frac{1}{2}}-x^{-\frac{1}{2}})\sum_{j\geq 0}\frac{P_{j}(x)}{\Delta_{K}(x)^{2j+1}}\frac{\hbar^{j}}{j!}=F_{K}(x,q).$$

That is, there is a formal power series $F_{\kappa}(x,q)$ in x with coefficients in $\mathbb{Z}((q))$ whose \hbar -expansion agrees with the MMR expansion.

Moreover,

$$\hat{A}_{\mathcal{K}}(\hat{x},\hat{y},q)F_{\mathcal{K}}(x,q)=0,$$

where \hat{A}_{K} is the quantum A-polynomial for the unreduced colored Jones polynomials of K.

The two-variable series $F_K(x,q)$ should really be thought of as the \hat{Z} for the knot complement $S^3 \setminus K$.

Dehn surgery

Conjecture ([Gukov, Manolescu '19])

$$\hat{Z}_{\mathcal{S}^{3}_{p/r}(\mathcal{K}),b}(q) = \oint \frac{dx}{2\pi i x} \left(\left(x^{\frac{1}{2r}} - x^{-\frac{1}{2r}} \right) \mathcal{F}_{\mathcal{K}}(x,q) \sum_{u \in \frac{p}{r} \mathbb{Z} + \frac{b}{r}} q^{-\frac{r}{p}u^{2}} x^{u} \right),$$

provided that the right-hand side converges (i.e. when $-\frac{r}{p}$ is big enough).

・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・

This is a theorem for surgeries on torus knots that result in negative-definite plumbed 3-manifolds.

Dehn surgery: an example

Consider $Y = -\Sigma(2,3,7) = S_{-1}^3(\mathbf{4}_1) = S_{+1}^3(\mathbf{3}_1).$



 \hat{Z} for the figure-eight knot complement is given by [Gukov, Manolescu '19], [S.P. '21]

$$F_{\mathbf{4}_{1}}(x,q) = -(x^{\frac{1}{2}} - x^{-\frac{1}{2}}) \sum_{n \ge 0} \frac{1}{\prod_{0 \le j \le n} (x + x^{-1} - q^{j} - q^{-j})}$$

= $x^{\frac{1}{2} + 2x^{\frac{3}{2}} + (q^{-1} + 3 + q)x^{\frac{5}{2}} + (2q^{-2} + 2q^{-1} + 5 + 2q + 2q^{2})x^{\frac{7}{2}} + O(x^{\frac{9}{2}})}$

Dehn surgery: an example

Applying the surgery formula, we get

$$\begin{split} \hat{Z}_{S_{-1}^{3}(\mathbf{4}_{1})}(q) &= \left[(x^{-\frac{1}{2}} - x^{\frac{1}{2}}) F_{\mathbf{4}_{1}}(x,q) \right]_{x^{m} \mapsto q^{m^{2}}} \\ &= \left[1 + x + (q^{-1} + 1 + q) x^{2} + (2q^{-2} + q^{-1} + 2 + q + 2q^{2}) x^{3} + O(x^{4}) \right]_{x^{m} \mapsto q^{m^{2}}} \\ &= 1 + q + q^{3} + q^{4} + q^{5} + 2q^{7} + \cdots \\ &= \sum_{n \ge 0} \frac{q^{n^{2}}}{\prod_{1 \le k \le n} (1 - q^{n+k})}, \end{split}$$

・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・
・

which is a Ramanujan's mock theta function of order 7.

Other descriptions of $Y = -\Sigma(2,3,7)$ give the same result.

R-matrices

Colored Jones polynomials can be computed using the R-matrices for finite-dimensional representations V_n of the quantum group $U_q(\mathfrak{sl}_2)$ [Kirillov, Reshetikhin '88].

Hence, in order to tackle Gukov-Manolescu conjecture, it is natural to study the large-color limit of these R-matrices.

 $U_q(\mathfrak{sl}_2)$

Quantum \mathfrak{sl}_2 , $U_q(\mathfrak{sl}_2)$, is the associative algebra over $\mathbb{C}(q^{\frac{1}{2}})$ generated by $E, F, K^{\pm 1}(=q^{\pm \frac{H}{2}})$ with relations

$$KE = qEK, \quad KF = q^{-1}FK, \quad [E, F] = \frac{K - K^{-1}}{q^{\frac{1}{2}} - q^{-\frac{1}{2}}}.$$

For each $n \ge 1$, let V_n be the *n*-dimensional $U_q(\mathfrak{sl}_2)$ -module with basis $\{v^0, \cdots, v^{n-1}\}$ on which the generators act by

$$Ev^{j} = [j]v^{j-1}, \quad Fv^{j} = [n-1-j]v^{j+1}, \quad Kv^{j} = q^{\frac{n-1-2j}{2}}v^{j},$$

where $[m] := \frac{q^{\frac{m}{2}} - q^{-\frac{m}{2}}}{q^{\frac{1}{2}} - q^{-\frac{1}{2}}}$ for any $m \in \mathbb{Z}$.

 $U_q(\mathfrak{sl}_2)$

 $U_q(\mathfrak{sl}_2)$ admits a universal R-matrix

$$\mathsf{R} \in U_q(\mathfrak{sl}_2) \hat{\otimes} U_q(\mathfrak{sl}_2).$$

Applied to V_n , we obtain an automorphism $\check{R} \in \operatorname{Aut}(V_n \otimes V_n)$ given by

$$\check{R}(v^{i} \otimes v^{j}) = \sum_{k \geq 0} q^{\frac{n^{2}-1}{4} - \frac{(i-k+j+1)(n-1)}{2} + (i-k)j} \begin{bmatrix} i \\ k \end{bmatrix}_{q} \prod_{1 \leq l \leq k} (1 - q^{-n+j+l}) v^{j+k} \otimes v^{i-k}$$

that satisfies the Yang-Baxter equation.



Colored Jones polynomials

Let *L* be a link which can be presented as the closure of a braid β . Then the *n*-colored Jones polynomial $J_{L,n}(q)$ is the quantum trace of the automorphism given by the product of R-matrices.



Large-color R-matrix

In the large-color limit, the representation V_n becomes a Verma module V_{∞} with generic highest (or lowest) weight.

The highest weight Verma module with highest weight $\lambda = \log_q x - 1$ has a basis $\{v^j\}_{j\geq 0}$ on which the generators of $U_q(\mathfrak{sl}_2)$ act by

$$\mathsf{E}\mathsf{v}^j=[j]\mathsf{v}^{j-1},\quad \mathsf{F}\mathsf{v}^j=[\lambda-j]\mathsf{v}^{j+1},\quad \mathsf{K}\mathsf{v}^j=q^{rac{\lambda-2j}{2}}\mathsf{v}^j.$$

Let's call the R-matrix for these Verma modules the large-color R-matrix.

・ロト ・ 日 ・ ・ ヨ ・ ・ ヨ ・ うへつ

Large-color R-matrix

$$\check{R}(x_1, x_2)_{i,j}^{i',j'} = \delta_{i+j,i'+j'} q^{(j+\frac{1}{2})(j'+\frac{1}{2})} x_1^{\frac{-i'-j-1}{4}} x_2^{\frac{i-3j'-1}{4}} \begin{bmatrix} i\\j' \end{bmatrix} \prod_{\substack{1 \le l \le i-j'}} (1 - q^{j+l} x_2^{-1})$$

Geometrically, x_1 and x_2 are the holonomy eigenvalues around the meridians of the two strands, in $SL_2(\mathbb{C})$ Chern-Simons theory at the abelian branch.



・ロト ・ 理ト ・ ヨト ・ ヨト

Э

Extending the R-matrix

While the indices $i, j, i', j' \in \mathbb{Z}_{\geq 0}$ represent the basis vectors $\{v^i\}_{i\geq 0}$ of the highest weight Verma module V_{∞} , the R-matrix element $\check{R}(x_1, x_2)_{i,j}^{i',j'}$ makes sense for all $i, j, i', j' \in \mathbb{Z}$.

$$\begin{split} \tilde{R}(\mathbf{x},\mathbf{y})_{i,j}^{i',j'} &= \\ \begin{cases} \delta_{i+j,i'+j'} q^{(j+\frac{1}{2})(j'+\frac{1}{2})} \mathbf{x}^{-\frac{i'+j+1}{4}} \mathbf{y}^{-\frac{3j'-i+1}{4}} \begin{bmatrix} i \\ i-j' \end{bmatrix}_{q} \prod_{1 \le i \le i-j'} (1-q^{j+i}y^{-1}) & \text{if or} \\ 0 > i \ge j' \\ \delta_{i+j,i'+j'} q^{(j+\frac{1}{2})(j'+\frac{1}{2})} \mathbf{x}^{-\frac{i'+j+1}{4}} \mathbf{y}^{-\frac{3j'-i+1}{4}} \begin{bmatrix} i \\ j' \end{bmatrix}_{q} \frac{1}{\prod_{0 \le i \le j'-i-1} (1-q^{j-i}y^{-1})} & \text{if } j' \ge 0 > i \\ 0 & \text{otherwise} \end{split}$$

Meaning of the extension

The "inversion" of the domain of an index from $\mathbb{Z}_{\geq 0}$ to $\mathbb{Z}_{<0}$ corresponds to passing from the highest weight Verma module to the lowest weight Verma module, which can be thought of diagrammatically as changing the orientation of the arc of the link.



・ロト ・ 日 ・ ・ ヨ ・ ・ ヨ ・ うへつ

Meaning of the extension

There are natural cups and caps, depicted in the following figure:



Meaning of the extension

The large-color R-matrix enjoys various symmetries that are natural from the diagrammatic point of view. For instance,

$$\check{R}(x_1, x_2)_{-1-i,j}^{i', -1-j'} = q^{\frac{i-j'}{2}}\check{R}^{-1}(x_2, x_1^{-1})_{j,j'}^{i,i'},$$

which can be diagrammatically understood as



▲ロ ▶ ▲ □ ▶ ▲ □ ▶ ▲ □ ▶ ▲ □ ▶ ● ○ ○ ○

New type of crossings

Note, by inverting some arcs, we also get some non-standard orientations such as



◆□ ▶ ◆□ ▶ ◆三 ▶ ◆□ ▶ ◆□ ◆ ● ◆ ●

It is important to allow these non-standard orientations for better convergence of the state sum.

Inverted state sum

Verma modules are infinite-dimensional, so the state sum becomes an infinite sum, and one needs to be careful about its convergence.

Let's say a link *L* is "nice" if it admits a link diagram with an orientation datum such that the inverted state sum is absolutely convergent in $\mathbb{Z}[q, q^{-1}][[x^{-1}]].$

・ロト ・ 日 ・ ・ ヨ ・ ・ ヨ ・ うへつ

Theorem ([S.P. '21])

Gukov-Manolescu conjecture is true for any nice link.

Homogeneous braid links are nice.

All fibered knots up to 10 crossings are nice.

Inverted state sum: an example

For the figure-eight knot,



Inverted state sum: an example

$$\begin{aligned} F_{\mathbf{4}_{1}}(x,q) &= -(x^{\frac{1}{2}} - x^{-\frac{1}{2}}) \sum_{\substack{m \geq 0 \\ k < 0}} \check{K}(x)_{0,m}^{m,0} \check{K}^{-1}(x)_{0,k}^{0,m} \check{K}^{-1}(x)_{m,k}^{m,k} \cdot x^{\frac{1}{2}} q^{-\frac{1}{2}-m} \cdot x^{\frac{1}{2}} q^{-\frac{1}{2}-k} \\ &= -x^{-\frac{1}{2}} - 2x^{-\frac{3}{2}} - (\frac{1}{q} + 3 + q)x^{-\frac{5}{2}} - (\frac{2}{q^{2}} + \frac{2}{q} + 5 + 2q + 2q^{2})x^{-\frac{7}{2}} + O(x^{-\frac{9}{2}}) \\ &= -(x^{\frac{1}{2}} - x^{-\frac{1}{2}}) \sum_{n \geq 0} \frac{1}{\prod_{0 \leq j \leq n} (x + x^{-1} - q^{j} - q^{-j})}. \end{aligned}$$

<ロト < 回 ト < 三 ト < 三 ト 三 の < で</p>

Inverted state sum

It is well known [Stallings '78] that for any link L, we can add an unknot component K (with any desired linking number with each component of L) so that $L' = L \cup K$ is a homogeneous braid link.

Corollary

For any 3-manifold Y, there is a link $L \subset Y$ for which $Y \setminus L$ is homeomorphic to the complement of a homogeneous braid link in S^3 , so that we can compute $\hat{Z}_{Y \setminus L}(q)$.

Therefore, in order to mathematically construct \hat{Z} for general 3-manifolds, it suffices to find a general Dehn surgery formula.

This problem is still open, but there are many hints, such as inverted Habiro series [S.P. '21] and the use of indefinite theta functions [Cheng, Ferrari, Sgroi '19]

Finiteness conjecture for fibered knots

Conjecture ([S.P. '21])

For any fibered knot K, the coefficients of $F_K(x,q)$ are in $\mathbb{Z}[q,q^{-1}]$.

Motivation from topological strings: F_K can be thought of as an open topological string partition function [Ekholm, Gruen, Gukov, Kucharski, S.P., Sulkowski '20], in a setup similar to [Ooguri, Vafa '99] for HOMFLY-PT polynomials, except that we use the knot complement Lagrangian instead of the usual knot conormal Lagrangian.

When the knot is fibered, the knot complement Lagrangian can be completely shifted off of the zero section S^3 , just like the knot conormal Lagrangian.

Open questions

- General surgery formula?
 - \blacktriangleright ∞-surgery formula?
 - Formula for (not necessarily negative-definite) plumbed 3-manifolds? Using indefinite theta functions?

- $F_{K}(x, q)$ for fibered knots as traces of monodromy maps?
- $\mathcal{H}_{Y,b}$? (Categorification?)

Proof sketch

The idea is to use Foata-Zeilberger formula and combine it with Rozansky's proof of MMR conjecture.

The main ingredients are bosonic and fermionic random walks on the knot diagram.

(ロト (個) (注) (注) (注) (三) (○) (○)

Foata-Zeilberger formula

A cycle on an oriented graph is called primitive if it is not a power of any other cycle. A multi-cycle is an unordered tuple of cycles. A multi-cycle is called primitive if each of the cycles are primitive.

Theorem ([Foata, Zeilberger (1998)])

Let G be an oriented graph with weighted edges. Then the weighted sum of all primitive multi-cycles on G is

$$\frac{1}{\det(I-\mathcal{B})},$$

◆□ ▶ ◆□ ▶ ◆三 ▶ ◆□ ▶ ◆□ ◆ ●

where \mathcal{B} denotes the transition matrix.

Foata-Zeilberger formula: an example

Example:



$$1 + (a) + (b) + (ab) + (a)(b) + (a)^{2} + (b)^{2} + (a^{2}b) + (ab^{2}) + (a)(ab) + (b)(ab) + (a)^{3} + (a)^{2}(b) + (a)(b)^{2} + (b)^{3} + \cdots = \frac{1}{1 - (a + b)}.$$

◆□▶ ◆□▶ ◆ □▶ ◆ □▶ ● □ ● ● ●

Proof of the classical limit

In the classical limit q = 1, the (inverted) state sum becomes the weighted count of all primitive multi-cycles on the oriented graph whose vertices are the set of internal segments. Therefore,

$$Z^{ ext{inv}}(D) = rac{1}{ ext{det}(I - \mathcal{B}_{ ext{inv}})},$$

which is the partition function of a random walk of free bosons on the knot diagram.

On the other hand, the denominator can be interpreted as the partition function of a random walk of free fermions on the knot diagram, which is the signed weighted count of all simple multi-cycles. (A multi-cycle is called simple if it uses each edge at most once.)

Proof of the classical limit

The main lemma of the proof is to show that there is a nice one-to-one correspondence between the simple multi-cycles in the weighted oriented graphs before the inversion and after the inversion, that preserves the weight.

It follows that, up to a simple factor,

$$\det(I - \mathcal{B}_{inv}) = \det(I - \mathcal{B}) = \Delta_{\mathcal{K}}(x).$$

This establishes

$$rac{1}{\det(I-\mathcal{B}_{\mathrm{inv}})} = rac{1}{\det(I-\mathcal{B})} = rac{1}{\Delta_{\mathcal{K}}(x)}.$$

Note, the left-hand side is a power series in x^{-1} , whereas the right-hand side is a power series in (1 - x).

Proof of the classical limit



◆□ ▶ ◆ □ ▶ ◆ □ ▶ ◆ □ ▶ ◆ □ ▶ ◆ □ ▶ ◆ □ ▶

Proof of the higher perturbative terms

To show that all the higher perturbative terms agree with the MMR expansion, we use the idea that Rozansky used in his proof of MMR conjecture.

That is, we use the parametrized *R*-matrices:

$$\mathsf{R}(\alpha,\beta,\gamma)_{i,j}^{i',j'} = \delta_{i+j,i'+j'} \binom{i}{j'} \alpha^{j} \beta^{j'} \gamma^{i-j'},$$
$$\mathsf{R}^{-1}(\alpha,\beta,\gamma)_{i,j}^{i',j'} = \delta_{i+j,i'+j'} \binom{j}{i'} \alpha^{i} \beta^{i'} \gamma^{j-i'}.$$

They are *not* inverses to each other, and they do *not* satisfy the Yang-Baxter equation. They just provide a generic form of a state sum that can be computed by a weighted count of primitive multi-cycles.

Proof of the higher perturbative terms

The usual R-matrices in the classical limit are specializations of these parametrized R-matrices:

$$\begin{split} \check{R}(x)_{i,j}^{i',j'}\Big|_{q=1} &= \mathsf{R}(\alpha,\beta,\gamma)_{i,j}^{i',j'}\Big|_{\alpha=x^{-\frac{1}{2}},\beta=x^{-\frac{1}{2}},\gamma=1-x^{-1}},\\ \check{R}^{-1}(x)_{i,j}^{i',j'}\Big|_{q=1} &= \mathsf{R}^{-1}(\alpha,\beta,\gamma)_{i,j}^{i',j'}\Big|_{\alpha=x^{\frac{1}{2}},\beta=x^{\frac{1}{2}},\gamma=1-x}. \end{split}$$

Higher perturbative terms of the *R*-matrix can be obtained by applying some differential operators to the parametrized *R*-matrix and then specializing the parameters. That is, there is a sequence of differential operators D_n in the parameters $\{\alpha_c, \beta_c, \gamma_c\}_{c \in \{\text{crossings}\}}$ such that the \hbar^n -coefficient of the MMR expansion is

$$D_n Z(\{\alpha\}, \{\beta\}, \{\gamma\}) \bigg|_{\text{specialize as above}}$$

Proof of the higher perturbative terms

The differential operators don't change upon extension of R-matrix, so it follows that the higher perturbative terms of the MMR expansion remain the same after inversion. This proves the theorem.

▲□▶ ▲□▶ ▲ □▶ ▲ □▶ ▲ □ ● ● ● ●